**ANNUAL BOARD REPORT**

**Program Overview**

*Describe key components of program, strategic plans for program development and major milestones met. Also potentially discuss strengths, weaknesses and threats to the program.*

**Compliance Developments & Emerging Risk Areas**

*Provide updates on developments regarding new and existing regulatory changes, risk areas as well significant investigations and enforcement activity within the industry.*

**Program Metrics**

*Show effectiveness of your program through completion and results. Be prepared to discuss scenarios, root causes of issues and explanations of trending data. Provide verbal synopsis of major issues along with corrective action and prevention.*

Work Plan Audits

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| Risk Area Audited | Overall Findings | Corrective Action |
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Compliance Program Repayments

Compliance Hotline Activity

Compliance Training